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PTO/SB/21 (05-03)

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				Application Number	10/698,16	0
TO A NOMETAL			Filing Date	October 3	0, 2003	
	TRANSMI			First Named Inventor	YU, DE CI	OAH
	FORM	Λ	'	Group Art Unit	1645	
	(to be used for all corresponded	nce after initial	l filing)	Examiner Name		
	Total Number of Pages in Th	is Submission	5 + refs.	Attorney Docket Number	CELL-023	
	Total Wallion of Lagos III. V.			ES (check all that apply)		
	Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration  Extension of Time Reques  Express Abandonment Re  Information Disclosure Stat  Certified Copy of Priority  Documents  Response to Missing Parts Incomplete Application  Response to Missing  under 37 CFR 1.52 of	quest tement	Assign (for an Drawin Licens Petition Provisi Power Chang Addres Termin Reque	ment Papers Application) ag(s) ing-related Papers n n to Convert to a ional Application of Attorney, Revocation ag of Correspondence	1. 2. 3.	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  Form PTO/SB/08A Cited references Postcard
		SIGNATU	URE OF APPL	ICANT, ATTORNEY, OF	RAGENT	
	Signing Attorney/Agent (Reg. No.)  PAMELA J. SHERWOOD, 36,677  BOZ/CEVIC, FIELD & FRANCIS LLP					
Signature Jalunk Alux WM						
Date	June 17, 2					

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## INFORMATION DISCLOSURE STATEMENT

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attorney Docket	CELL-023
First Named Inventor	YU, DE CHAO
Application Number	10/698,160
Confirmation No.	9850
Filing Date	October 30, 2003
Group Art Unit	1645
Examiner Name	
Title: "CELL SPECI	FIC ADENOVIRUS VECTOR

Title: "CELL-SPECIFIC ADENOVIRUS VECTOR COMPRISING EBV-SPECIFIC PROMOTER"

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-SB/08A listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C.§102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. CELL-023 may be charged thereon.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: \_\_\_\_\_ June 17, 2004

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200 Menlo Park, CA 94025

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Pamela J. Sherwood
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PTO/SB/08a (05-03)

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Complete if Known Substitute for form 1449A/PTO 10/698,160 Application Number INFORMATION DISCLOSURE October 30, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor YU, DE-CHAO 1645 Art Unit (use as many sheets as necessary) Examiner Name 3 Attorney Docket Number **CELL-023** Sheet

			U.S. PATENT DO	CUMENTS	
Examiner	Cite	Document Number	Publication Date Name of Patente		Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials'	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		20010006633	July 5, 2001	KIRN	
		20010053768	December 20, 2001	GREGORY et al.	
		20030026789	February 6, 2003	GREGORY et al.	
		20030068307	April 10, 2003	YU et al.	
		20030104625	June 5, 2003	CHENG et al.	
		20040009588	January 15, 2004	CHANG et al.	
		5.998,205	December 7, 1999	HALLENBECK_et	
		6,432,700	August 13, 2002	HENDERSON et	
		6,551,587	April 22, 2003	HALLENBECK et	
		6.638.762	October 28, 2003	CHANG et al.	
		6.692.736	February 17, 2004	YU et al.	

**		FOREIG	N PATENT DOCUM	ENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials'	No. <sup>1</sup>	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited  Document	Passages or Relevant Figures Appear	T <sup>8</sup>
		WO 01/36650	25 May 2001	ONYX		
		WO 01/72341	4 Oct. 2001	CALYDON	<u> </u>	
		WO 01/73093	4 Oct. 2001	CALYDON		
		WO 02/067861	6 Sept. 2002	NOVARTIS		
		WO 92/03563	5 Mar. 1992	CENTRAAL		
		WO 96/17053	6 June 1996	GENETIC		
		WO 96/34969	7 Nov. 1996	CANJI		
		WO 98/29555	9 July 1998	ONYX		
		WO 98/39464	11 Sept. 1998	CALYDON		
		WO 99/25860	27 May 1999	NOVARITIS		1
		WO 99/59604	25 Nov. 1999	ONYX		
		WO 99/28469	10 June 1999	GENZYME		

Examiner Signature	Date Considered		

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Oubstitute .	OI 101111	,5A1 13			Application Number	10/698,160	
INFORMATION DISCLOSURE			<u> </u>	Filing Date	October 30, 2003		
		MENT BY APP			First Named Inventor	YU, DE-CHAO	
	• · · · · ·		<b>L</b> I <b>Y</b>		Art Unit	1645	
	luse a	s many sheets as nec	essan)		Examiner Name	1010	
Sheet		2 ·	of	3	Attorney Docket Number	CELL-023	
O.I.CCL							
	T	,			ON PATENT LITERATURE	<del></del>	
Examiner Initials*	Cite No. <sup>1</sup>	Include item (boo	name of th k, magazin	ie, journal, se	CAPITAL LETTERS), title of the article orial, symposium, catalog, etc.), date, position of the article isher, city and/or country where public	page(s), volume-issue number(s),	T <sup>2</sup>
					VEEN STAT REGULATIO IN TUMORS, J. Virol., 20		
			OF NA	SOPHAF	RYNGEAL CARCINOMA (	C ADENOVIRUS FOR THE (NPC), Proc. Amer. Ass. For	
	CHIOCCA et al., ONCOLYTIC VIRUSES, Nature Publishing Group, 2002, 2: 938-951						
		VIRUS (EBV)	) IMME	DIATE-E		ESSING EPSTEIN-BARR OR BRLF1 TO TREAT EBV- 0959	
					STRATION OF EPSTEIN- SITES, Cancer Res., 20	BARR VIRUS IN 002, 1:62(17):4876-4878	
					D GENE THERAPY FOR 2002, 62: 171-178	NASOPHARYNGEAL	
	REISMAN et al., TRANS ACTIVATION OF AN EPSTEIN-BARR VIRAL TRANSCRIPTIONAL ENHANCER BY THE EPSTEIN-BARR VIRAL NUCLEAR ANTIGEN 1, Molecular and Cell. Biol., 1986, 6(11): 3838-3846						
	SADLER et al., THE EPSTEIN-BARR VIRUS 3.5-KILOBASE LATENT MEMBRANE PROTEIN 1 MRNA INITIATES FROM A TATA-LESS PROMOTER WITHIN THE FIRST TERMINAL REPEAT, J. of Virol., 1995, 69(7): 4577-4581						
		AND EBNA2	-INDEP	ENDÉNT		BOTH EBNA2-DEPENDENT EPSTEIN-BARR VIRUS LMP1 1376	

Examiner	·	Date	
Signature		Considered	

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Complete if Known GALENS Substitute for form 1449A/PTO 10/698,160 Application Number INFORMATION DISCLOSURE October 30, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor YU, DE-CHAO 1645 Art Unit **Examiner Name** (use as many sheets as necessary) Sheet 3 Attorney Docket Number **CELL-023** OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite T<sup>2</sup> item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), No Initials\* nublisher city and/or country where nublished TSAI et al., ADDITIVE EFFECT OF SP1 AND SP3 IN REGULATION OF THE ED-L1E PROMOTER OF THE EBV LMP 1 GENE IN HUMAN EPITHELIAL CELLS, Virology, 1999, 261: 288-294 YU et al., SELECTIVELY REPLICATING ONCOLYTIC ADENOVIRUSES AS CANCER THERAPEUTICS, Curr. Opinion in Mol. Ther., 2002, 4(5): 435-443 WAEHLER et al., EXPERIMENTAL GENE THERAPY OF HEPATOCELLULAR CARCINOMA: EXPRESSION OF IL-12, 4-1BBL AND IL-2 FROM A SINGLE ADENOVIRAL VECTOR,  $10^{\mathrm{TH}}$  Annual ESGT meeting, Oct. 13-16, 2002

Examiner <sup>*</sup>		Date	
Signature	•	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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**DATE: June 17, 2004** 

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<u>Date of Deposit: 06/17/2004</u> I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Signature

Date

Atty. Docket No.	Serial Number	Description	Atty.	Fee
LIFE-070DIV	10/663,217	Transmittal, Fee Transmittal in duplicate, IDS, SVB08A, (7) Cited References	SCT	
UCAL-082CON	09/938,853	PTOL-85 in duplicate	KYB	\$1,630
AGYT-041	10/764,292	Transmittal, Fee Transmittal in duplicate, Revocation/POA, Copy of Notice TO file Missing Parts, Executed Declaration	PJS	\$65
UCSF-129CON	10/795,002	Transmittal, IDS, SB08A, (1) Cited Reference	JSK	
UCSF-129DIV	10/794,144	Transmittal, IDS, SB08A, (1) Cited Reference	JSK	
RIGL-013	10/142,648	Restriction Election	JSK	
RIGL-002CON	09/651,150	Amendment	JSK	
LIFE-040	09/988,494	Request for RCE Transmittal, Fee Transmittal in duplicate, Petition for a 1 Month Extension of Time, Executed Declaration, IDS, SB08A, Copy of (1) cited Reference	SCT	\$880
AGYT-035CIP	10/112,667	Transmittal, Request for a 1 Month Extension of Time in duplicate, Amendment, (10) Exhibits Express Mail No. EV333997445US	PJS	\$55
STAN-203CIP	10/779,090	Transmittal, IDS, SB08Å, Copies of 1449's & PTO-892's from Parent, (9) Cited References	PAB	
SMAR-018DIV	10/666,005	Transmittal, Certified Copy of CA 2,332,201	BEF	
CELL-023	10/698,160	Transmittal, IDS, SB08A, (24) Cited References	PJS'	
LIFE-080CON	10/278,170	Transmittal, Fee Transmittal in duplicate, Terminal Disclaimer, Amendment After Final Rejection	CML	\$110